

**CITY OF TAMPA
INTERNAL AUDIT DEPARTMENT
FY2023 RISK ASSESSMENT**

Entity	Group	Weighted Average	Last Audit	Report Date
Archives and Records	City Clerk	3.4	05-08	03/04/05
Capital Construction & CCNA	Contract Administration	4.4	21-01	02/02/21
Inventory Control	DEO - Convention Center & Tourism	3.6	23-07	01/23/23
TCC Administrative & Fiscal	DEO - Convention Center & Tourism	3.8	19-14	09/03/19
Operations	DEO - Convention Center & Tourism	3.8	15-10	02/11/16
Food & Beverage Contract	DEO - Convention Center & Tourism	3.0	17-01	02/28/17
Event Services	DEO - Convention Center & Tourism	3.0	17-21	12/14/17
Sales and Marketing	DEO - Convention Center & Tourism	3.6	21-12	07/27/21
CRA & TIFs	Development & Economic Opportunity (DEO)	5.0	22-01	05/05/22
Real Estate	Development & Economic Opportunity (DEO)	4.8	19-19	10/03/19
Development Coordination - Planning Section (formerly Planning & Urban Design)	Development & Economic Opportunity (DEO)	4.2	21-17	12/20/21
Development Coordination - Zoning(formerly Land Development Coordination Division)	Development & Growth Management (DGM)	4.2	18-07	04/17/18
Housing Programs - SHIP Funding	Development & Growth Management (DGM)	4.0	23-10	07/03/12
Housing Programs - ESG Funding	Development & Growth Management (DGM)	4.4	22-12	10/25/22
Housing Programs - HOME Investment Funding	Development & Growth Management (DGM)	4.2	15-07	11/19/15
Housing Programs - HOPWA Funding	Development & Growth Management (DGM)	4.2	16-12	11/07/16
Housing Programs - CDBG Funding	Development & Growth Management (DGM)	4.8	19-03	12/30/19
Development Coordination - Right of Way & Mapping (formerly Land Development)	Development & Growth Management (DGM)	4.2	18-07	04/17/18
Construction Services - Inspections	Development & Growth Management (DGM)	4.8	17-20	02/26/18
Housing Programs - Mortgage Servicing	Development & Growth Management (DGM)	3.6	17-07	10/31/17
Construction Services - Intake/Review	Development & Growth Management (DGM)	4.0	16-08	09/26/16
Housing Programs - Office of Human Rights	Development & Growth Management (DGM)	3.2	16-11	01/30/17
Historic Preservation	Development & Growth Management (DGM)	3.6	17-08	07/18/17
Employee Overtime	Human Resources	3.6	06-24	08/28/06
General and Automobile Liability Insurance and	Human Resources	4.0	23-04	12/19/22
Health Insurance and Claims	Human Resources	3.8	22-09	08/27/22
Workers Compensation	Human Resources	3.8	19-20	02/18/20
Safety Program	Human Resources	3.2	19-06	02/25/19
Employee Relations and Ethics Policy	Human Resources	2.0	15-12	01/11/16
Employment Services	Human Resources	2.0	17-09	08/31/17
Training & Development	Human Resources	1.6	18-06	10/15/18
Fleet - Operations, Replacement Utilization, and Maintenance	LAM	4.6	20-15	05/17/21
Fleet - Parts Store	LAM	3.6	19-01	01/30/19
Facility Management	LAM	3.2	17-15	03/19/18
Fleet - Sublet Program	LAM	2.0	20-08	08/18/20
Pavement Management	Mobility	4.6	14-12	09/29/15
Bridge & Pump Station Maintenance and	Mobility	4.2	13-11	02/17/14
Operations (Inspections, Striping, Markings, Sidewalks, misc.)	Mobility	3.8	23-05	02/07/23
Transportation Engineering - Surveying	Mobility	3.8	23-12	06/12/23
Transportation Engineering - Drafting - (need to do in 2024)	Mobility	4.4		new
On Street Parking and Enforcement	Mobility	2.6	16-10	09/26/16
CIP Construction and Maintenance (Planned and Reactive)	Mobility	4.2	20-13	12/08/20
Parking Garages/Lots	Mobility	3.4	22-02	04/04/22
Traffic Management Center (Traffic Signal Maintenance and Timings, Traffic Logistics, Reversible Elevated Lanes, Project Coordination,	Mobility	3.6	18-13	09/02/18
Stormwater Engineering (include environmental compliance)	Mobility	4.2	21-07	06/17/21
Parking Data Exchange (next due FY2025)	Mobility	2.6	23-08	02/16/23
DAVID - agreement with State (to be determined)	Mobility	0.0		new
Street Light Program	Mobility	3.0	13-11	02/17/14
Maintenance of Traffic and Right-of-Way	Mobility	2.8	15-13	03/01/16
Fleet and Equipment Maintenance	Mobility	2.8	17-12	02/22/18
Stormwater Zone Maintenance	Mobility	3.2	19-08	05/02/19
Customer Service & Procurement	Mobility	2.2		new
Special Events & Signs	Mobility	2.0	17-02	05/11/17

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Equal Business Opportunity	Neighborhood & Community Affairs	3.8	19-04	04/22/19
Art Programs	Neighborhood & Community Affairs	2.0	19-02	02/12/19
Neighborhood Enhancement	Neighborhood Community Affairs	3.2	16-01	04/28/16
Business Tax	Neighborhood Community Affairs	2.8	21-06	03/02/21
Rental Certificates	Neighborhood Community Affairs	2.4	20-02	06/02/20
Foreclosure Registry	Neighborhood Community Affairs	2.2	21-02	12/08/20
Athletics	Parks & Recreation	3.4	17-03	06/18/18
Special Facilities	Parks & Recreation	3.4	17-17	05/18/18
Aquatics	Parks & Recreation	3.2	22-05	05/05/22
Administration	Parks & Recreation	2.2	23-03	01/13/23
Special Events & Permitting	Parks & Recreation	2.4	16-14	11/16/16
Park Services	Parks & Recreation	2.8	20-07	07/09/20
Recreation Services (including Arts/Seniors	Parks & Recreation	2.8	18-14	09/25/18
Forestry	Parks & Recreation	2.4	19-13	09/03/19
Planning, Design, and Natural Resources	Parks & Recreation	2.4	21-13	09/13/21
Communications - TPD	Police Department	4.4	23-06	03/26/23
Traffic Citations & Assessments	Police Department	4.0	18-15	09/25/18
Law Enforcement Trust Fund	Police Department	3.6	15-14	02/12/16
Aviation Unit	Police Department	4.4	21-09	04/15/21
Evidence Control Section	Police Department	4.4	21-05	03/21/21
Training - TPD	Police Department	3.6	16-05	04/22/16
Forensic Unit	Police Department	3.4	23-09	03/29/23
Extra Duty Program	Police Department	3.8	20-12	10/16/20
Informant Fund & Others	Police Department	3.2	19-09	04/22/19
False Alarms - TPD	Police Department	3.0	18-10	05/16/18
Dealership Maintenance Program	Police Department	2.6	15-09	08/24/15
Records Division and CRIME REPORTING	Police Department	3.2	17-13	08/31/17
Fire and Police Pension Office	Police/TFR	3.6	15-17	02/27/16
Fire and Police HealthTrust	Police/TFR	5.2		new
Job Order Costing	Purchasing	3.0		new
Purchasing Professional Services	Purchasing	2.6	18-09	04/17/18
Inventory & Stores	Purchasing	2.8	19-07	04/08/19
Purchasing Legal Compliance	Purchasing	3.2	22-10	06/20/22
Gasoline Credit Card	Purchasing	2.4	22-04	08/08/22
Purchase Card	Purchasing	2.4	18-04	12/18/18
General Employees Pension Fund	Revenue & Finance	4.6	22-13	01/01/23
Accounts Payable	Revenue & Finance	4.0	15-05	11/02/15
Budget Operations	Revenue & Finance	3.0	15-03	11/28/15
Grants Management	Revenue & Finance	4.8	20-05	08/18/20
Debt - Budget Office	Revenue & Finance	3.8	21-10	08/11/21
Wire Transfers and Investments	Revenue & Finance	3.2	20-10	10/01/20
Community Investment Tax	Revenue & Finance	3.6	22-07	02/07/23
Accounts Receivable, Billing & Leases	Revenue & Finance	3.2	18-08	04/17/18
Fixed Assets	Revenue & Finance	2.8	17-05	07/18/17
Banking	Revenue & Finance	2.8	19-12	09/03/19
Employee Travel	Revenue & Finance	2.0	05-30	04/14/05
Interfund Transfers	Revenue & Finance	2.0	17-19	11/17/17
Payroll Process	Revenue & Finance/Human Resources	4.2	16-02	04/18/16
Banking - Parks & Recreation	Revenue & Finance/P&R	3.4	14-05C	02/15/16
Banking - Scale House	Revenue & Finance/Solid Waste	3.4	14-05D	03/12/15
Banking - TPD	Revenue & Finance/TPD	3.0	22-06	05/16/22
McKay Bay (include Seminole Electric contract)	Solid Waste & Environmental	5.6	23-01	11/28/22
Commercial Operations (incl. Compactor/Roll-off - Solid Waste)	Solid Waste & Environmental	3.4	17-06	08/25/17
Environmental Compliance - Solid Waste	Solid Waste & Environmental	3.8	20-01	01/09/20
Contracted Services - Solid Waste	Solid Waste & Environmental	3.4	19-10	07/19/19
Residential Operations - Solid Waste	Solid Waste & Environmental	3.2	18-05	11/13/19
Solid Waste - Asset Division	Solid Waste & Environmental	2.0	18-03	03/13/18
Solid Waste - Central Dispatch	Solid Waste & Environmental	1.4	17-16	08/31/17
Transfer Station and Scale House (new)	Solid Waste & Environmental	5.0		new
Quality Control	Solid Waste & Environmental	2.0	20-14	01/14/21
Recycling Program	Solid Waste & Environmental	1.4	18-12	07/17/18
Fire CAD (Astra CAD) Controls and Application Review	T&I/TFR	3.2		new

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Police CAD (Versaterm) Controls and Application Review	T&I/TPD	3.8	18-11	10/05/18
Cayenta Utility Accounting Controls and Application Review	T&I/Water/Solid Waste	4.0	new	
Communications - TFR	Tampa Fire Rescue	5.2	09-14	09/25/09
Operations Division	Tampa Fire Rescue	4.0	23-02	02/07/23
Rescue Division	Tampa Fire Rescue	4.8	20-04	10/01/20
Emergency Management	Tampa Fire Rescue	4.0	17-04	05/11/17
Airport Fire Division	Tampa Fire Rescue	4.6	21-15	09/17/21
Fire Marshal	Tampa Fire Rescue	3.8	22-03	04/25/22
Off Duty Program	Tampa Fire Rescue	3.2	16-13	12/01/16
Training - Fire	Tampa Fire Rescue	3.2	17-11	07/18/17
False Alarms - Fire	Tampa Fire Rescue	2.0	18-01	02/06/18
Ecommerce Transaction Effectiveness Review	Technology & Innovation	4.2	19-15	10/25/19
Oracle Controls and Application Review	Technology & Innovation	3.6	new	
Oracle Post Implementation Review	Technology & Innovation	3.6	new	
eGovernment (transactions)	Technology & Innovation	3.6	08-07	08/29/08
Software Licenses	Technology & Innovation	3.2	18-18	01/10/19
Storage Capacity Review	Technology & Innovation	3.4	new	
ACH Transaction Processing Review	Technology & Innovation	3.2	18-02	02/06/18
Radio & Electronics Division	Technology & Innovation	3.2	20-06	06/02/20
Vulnerability Assessment	Technology & Innovation	3.0	15-16	01/28/16
Wireless LAN, WAN Security Review	Technology & Innovation	3.0	22-11	08/19/22
Security Policies and IT Procedure Review	Technology & Innovation	3.0	16-09	08/17/16
Database Security Review	Technology & Innovation	3.0	new	
Disaster Recovery & Business Continuity Planning	Technology & Innovation	3.0	19-18	12/17/19
Network Infrastructure Review	Technology & Innovation	2.8	new	
Logical Access Security Review	Technology & Innovation	2.8	new	
Remote Access/VPN Review	Technology & Innovation	2.8	21-14	02/08/22
Customer Service Center Controls and Application Review	Technology & Innovation	2.8	new	
Backup and Recovery Review	Technology & Innovation	3.6	14-08	09/23/14
LAN, WAN Hardware Review	Technology & Innovation	2.6	new	
Hardware Inventory/Asset Management Review (including decommissioning)	Technology & Innovation	2.6	new	
Windows Security Review	Technology & Innovation	2.6	19-11	07/30/19
Environmental Compliance - T&I	Technology & Innovation	2.4	new	
Customer Service Center	Technology & Innovation	2.4	21-03	02/02/21
Service Desk/Help Desk Response and	Technology & Innovation	2.2	17-14	08/31/17
Contract Compliance for Third Party Outsourcing	Technology & Innovation	1.6	new	
Program Change Control/Problem Management	Technology & Innovation	2.2	15-04	07/10/15
Oracle HRMS Controls and Application Review	Technology & Innovation	1.2	new	
IBM Z800/OS390 Security Review (Mainframe) decommissioned 2022	Technology & Innovation (Shutdown)	3.8	21-16	10/04/21
Capital Improvement Program	Wastewater	5.6	new	
Pump Station Maintenance	Wastewater	4.4	new	
Industrial Waste & Pretreatment	Wastewater	4.0	18-17	11/28/18
Collection System Maintenance (Preventative and Reactive)	Wastewater	4.2	21-11	06/29/21
Environmental Lab	Wastewater	3.6	19-05	02/25/19
AWTP Maintenance	Wastewater	3.6	22-08	06/10/22
Collection System Support Services	Wastewater	2.6	new	
AWTP Operations	Wastewater	3.4	20-03	02/27/20
New/Modified Service Request Processing	Wastewater	1.8	15-08	08/28/15
Billing	Water	4.2	14-07	06/09/14
Credit, Collections, Call Center Operations, and Consumer Services	Water	4.2	14-11	06/09/14
Water Production Division	Water	5.0	16-04	02/27/16
Environmental Compliance - Water	Water	4.6	18-16	11/13/18
Maintenance (Preventive and Reactive)	Water	4.6	20-09	07/09/20
Engineering Division	Water	4.6	21-08	05/17/21